

SON-2552/KOI



PATENT APPLICATION

#7
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05/05/03

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the Patent Application of

Noriaki NISHI

Serial No. 09/914,350

Filed: August 27, 2001

For: OPTICAL HEAD, LIGHT
RECEIVING/EMITTING DEVICE AND
OPTICAL RECORDING AND/OR
REPRODUCING APPARATUS

Group Art Unit: 2651

Examiner: Not Assigned

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APR 11 2003

Technology Center 2600

THIRD INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
Washington, D.C. 20231

Sir:

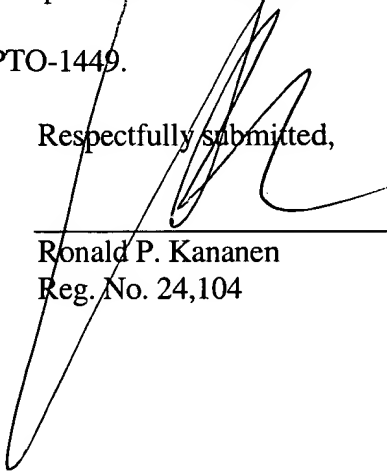
By this Information Disclosure Statement (IDS), Applicants hereby bring to the attention of the Examiner one (1) additional document identified on an accompanying form PTO-1449. In particular, in form PTO-1449, the foreign patent or published foreign application is identified by the country or patent office that issued the patent or published the application, an appropriate document number, and the publication date indicated on the patent or published application. Applicants have also provided a legible copy of the foreign patent or publication.

A copy of the European Search Report (ESR) with its Annex is provided for the convenience of the Examiner and to show the purported relevance of the foreign language document. This submission is timely in that it is made prior to the initial action on the merits.

The filing of this IDS is not a representation that a search has been made, and is not an admission that the information cited in the statement is , or is considered to be, material to patentability of the above-identified application. Moreover, Applicants respectfully submit that this IDS is filed before the mailing date of a first Office Action on the merits. Thus, no fee is required.

Based on the foregoing discussion, Applicants submit that this IDS complies with the requirements set forth in 37 CFR 1.97 and 37 CFR 1.98. As a result, Applicants respectfully request that the Examiner consider the document, and provide a clear record of its consideration by initialing the appropriate boxes provided on form PTO-1449.

Respectfully submitted,



Ronald P. Kananen
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Dated: April 10, 2003

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